



# Fruit Tree Securities Limited

## 果樹證券有限公司

### 香港證券交易服務收費

服務項目	收費
電話交易佣金	0.20% 或最低港幣50元，以較高者為準
經互聯網落盤	0.10% 或最低港幣50元，以較高者為準
聯交所交易費用	0.00565% 按交易金額計算
證監會交易徵費	0.0027% 按交易金額計算
財匯局交易徵費	0.00015% 按交易金額計算
中央結算系統股份交收費	0.002%最低港幣2或最高港幣100元
印花稅	成交每港幣 \$1,000元收取1港元 (以元位整數計算，不足港幣1.30元亦作港幣1元計)

### 股票提存

服務項目	收費
實物股票存入費	每張轉讓書厘印費港幣5元
實物股票提取費	每隻股票每手港幣5元 (碎股亦視作一手計算)，最低收費港幣50元
中央結算系統的交收指示存入 (SI)	免費
中央結算系統的交收指示提取 (SI)	以上日收市總值之0.002%； 另加每隻股票港幣50元手續費
投資者中央結算系統的交收指示存入 (ISI)	免費
投資者中央結算系統的交收指示提取 (ISI)	每隻股票港幣50

### 代理人服務及企業行動

服務項目	收費
代收現金股息/以股代息	股息總額0.4%之手續費，最低港幣/ 人民幣30元， 另加過戶費每手港幣/ 人民幣1.5元 (碎股亦視作一手計算)
代收供股權、紅股、認股證	最低港幣/ 人民幣30元，另加過戶費每手港幣/ 人民幣1.5元 (碎股亦視作一手計算)
代收現金收購、私有化、公開或優先認認購	最低港幣/ 人民幣30元，香港結算收取每手港幣0.80元及結算所需繳付之費用 (不足1手，作1手計算)
行使供股權、認股證	最低港幣/ 人民幣30元，香港結算收取每手港幣0.80元及結算所需繳付之費用 (不足1手，作1手計算)

### 其他服務

服務項目	收費
代購新股	每次港幣100元手續費
補發月結單	要求補發6個月或之前的月結單，才要收取手續費港幣30元以一個月計算
退票罰款	每次港幣150元及加過期交收利息
核數證明 行政費	每封港幣300
額外要求提供證明文件行政費	每封港幣1,500
追討股息(每隻股份派息期)	股息金額0.5%，或最低港幣100元，以較高者為準； 另手續費港幣300元及香港結算收取港幣200元手續費
本地匯款	每單港幣250
海外電匯	每單港幣350
保證金帳戶借貸收費	最優惠利率+3%
現金帳戶結欠利息	最優惠利率+8%

以上收費及息率資料僅供參考，本公司有權隨時調整以上收費及息率，而毋須另行通知。

01.01.2023



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### Securities Trading Services

ITEMS	SERVICE CHARGES
Phone Trading Commission	0.20% of Transaction Amount (Min. HKD50, whichever higher)
Orders placed via Internet	0.10% of Transaction Amount (Min. HKD50, whichever higher)
Transaction Fee	0.00565% of transaction amount
SFC Transaction Levy	0.0027% of transaction amount
FRC Transaction Levy	0.00015% of transaction amount
CCASS Stock Settlement Fee	0.002% of transaction amount min. HKD2 max. HKD100
Stamp Duty	HKD1.30 for every HKD1,000 Transaction Amount (rounded up to nearest dollar)

### Deposit / Withdrawal

ITEMS	SERVICE CHARGES
Physical Scrip Deposit	HKD5 for each transfer deed
Physical Scrip Withdrawal	HKD5 per share transfer form, min HKD50, Round up to the nearest board lot
CCASS Settlement Instruction - Stock Deposit (SI)	Free
CCASS Settlement Instruction - Stock Withdrawal (SI)	0.002% on stock market value of previous trading day + HKD50 handling charge per each stock
CCASS Investor Settlement Instructions - Stock Deposit (ISI)	Free
CCASS Investor Settlement Instructions - Stock Withdrawal (ISI)	HKD50 handling charge per each stock

### Nominee Corporate Actions Services

ITEMS	SERVICE CHARGES
Dividend collection	0.4% on dividend amount , Min HKD/CNY 30 + scrip fee HKD/CNY 1.5 per board/odd lot
Subscribe rights issue / warrant	Min HKD/CNY 30 + scrip fee CNY/ HKD1.5 per board/odd lot
Nominee Service & Corporate Actions e.g.Cash offer / privatization / unconditional cash offer/ open offer	HKD/CNY 30 handling fee per stock per request ; + HKD0.80 per board lot and charges collected by HK Clearing
Subscribe rights issue / warrant	HKD30 / CNY30 handling fee per stock per request + HKD0.80 per board lot collected by HK Clearing

### Financing and Other Services

ITEMS	SERVICE CHARGES
IPO Application	HKD100 per application
Request for Historical Statements	Beyond past 6 months up to 7th year : HKD30 per month
Return Cheque Charge	HKD150 + late settlement charge
Audit Confirmation Letter	HKD300 per letter
Other Specific Confirmation Letter	HKD1,500 per letter
Claim Dividend (unclaimed entitlement of each stock)	0.5% on gross dividend amount, min. HKD100 + HKD300 handling fee + HKD200 handling fee collected by HK Clearing
Chats payment	HKD250 per service
Telegraphic Transfer (T/T)	HKD350 per service
Margin Account Lending Rate	Prime Rate +3%
Cash Account Overdue Interest	Prime Rate +8%

The charges above are for reference only. Our company reserves the rights to adjust charges above without prior notice.

01.01.2023